



PATENT
Atty Docket No.: 393325
Express Mail Label No.: EV 008780404 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Augustin T. Chen, et al.

Serial No.: 09/885,198

Filed: June 20, 2001

For: Adhesive Compositions

Group No.: 1713

Examiner: Not Assigned

#3
02/21/02
AS

RECEIVED
FEB 15 2002
TC 1700

Box DD
Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement.

The following sections are being submitted for this Information Disclosure Statement:
(check sections forming a part of this statement: discard unused sections and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ FORM PTO-1449 (Modified)
3. ☐ Statement as to Information Not Found in Patents or Publications

CERTIFICATE UNDER 37 C.F.R. § 1.8 and § 1.10

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the United States Postal Service in an envelope addressed to Box DD, Commissioner for Patents, Washington, D.C. 20231 with sufficient postage:

MAILING

- ☐ **37 C.F.R. § 1.8**
as first class mail.

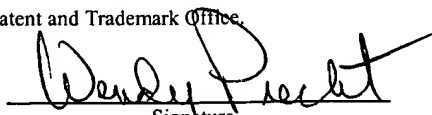
- ☒ **37 C.F.R. § 1.10**
as "Express Mail Post Office to Addressee"
EXPRESS MAIL LABEL NO. EV008780404US

FACSIMILE TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Wendy Precht

Name of Depositor


Signature

February 7, 2002

Date

- 4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
- 5. ☐ Cumulative Patents or Publications
- 6. ☒ Copies of Listed Information Items Accompanying This Statement
- 7. ☐ Concise Explanation of Non-English Language Listed Information Items
 - 7A. ☐ EPO Search Report
 - 7B. ☐ English Language Version of EPO Search Report
- 8. ☐ Translation(s) of Non-English Language Documents
- 9. ☒ Identification of Person(s) Making This Information Disclosure Statement

Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January, 1992, 1135 O.G. 13-25, at 25.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement herein. Applicant is enclosing a copy of the PCT International Search Report dated 17 January 2002. Thus, no fee is due.

Section 2. Form PTO-1449 (Modified)

- ☒ A completed Form PTO-1449 (Modified) is attached hereto.
- ☐ No Form PTO-1449 (Modified) is attached.

Section 3. Statements as to Information Not Found in Patents or Publications (Information not listed in Form PTO 1449)

- ☒ Not Applicable
- ☐ Attached.

Section 4. Identification of Prior Application In Which Listed Information Was Already Cited and for Which No Copies Are Submitted Or Need Be Submitted

- ☒ Not Applicable
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior patent application serial no. _____, filed _____. The below listed references were submitted to and/or cited by the Office in this prior application. Therefore, copies are not submitted herewith.

Section 5. Cumulative Patents or Publications

- ☒ Not Applicable
- ☐ _____ is cumulative of the following patents or publications listed on Form PTO-1449 (modified):
- ☐ In accordance with 37 C.F.R. § 1.98(c) a copy of only _____ is being submitted with this information disclosure statement.

Section 6. Copies of Listed Information Items Accompanying this Statement

- ☒ Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement are enclosed.
- ☐ Exception(s) to above:
 - ☐ Items in prior application from which an earlier filing date is claimed for this application, as identified in Section 4.
 - ☐ Cumulative patents or publications identified in Section 5.

Section 7. Concise Explanation of Non-English Language Listed Information Items

(Note: Where information was cited in a search report by a foreign patent officer, this requirement is met by submitting an English language version of the search report. This requirement is not met by a statement that the reference was cited in the prosecution of a patent application.)

- ☒ Not Applicable
- ☐ Attached

Section 8. Translation(s) of Non-English Language Documents

- ☒ Not Applicable
- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications, or information considered to be material:
- ☐ No English language translations of the foreign language patents, publications, or information or parts thereof are readily available, except for those listed above.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

Section 9. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s).
- ☐ supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- ☒ in the attorney's file.

PATENT

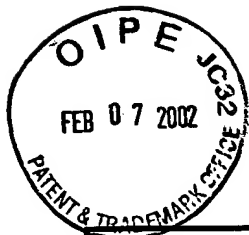
Atty Docket No.: 393325

Express Mail Label No.: EV 008780404 US

Respectfully submitted,

LATHROP & GAGE L.C.

By: *Kenneth D. Goetz*
Kenneth D. Goetz, Reg. No. 62,696
2345 Grand Boulevard, Suite 2800
Kansas City, MO 64108-2684
Tel: (816) 460-5849
Fax: (816) 292-2001
Attorney of Record



CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Augustin T. Chen, et al.

Matter No.

393325

Serial No.

09/885,198

Filing Date

June 20, 2001

Examiner

Not Assigned

Group Art Unit

1713

Invention: Adhesive Compounds

I hereby certify that this Transmittal Form (1 page); Supplemental Information Disclosure Statement (5 pages); PCT International Search Report (3 pages); Form 1449 (1 page); 2 Cited References; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; and return post card are being mailed in an envelope addressed to: Box DD, Commissioner for Patents, Washington, D.C. 20231 on this 7th day of February, 2002.

Wendy Precht

Name of Depositor

Signature of Depositor

EV 008780404 US

Express Mail Label No.

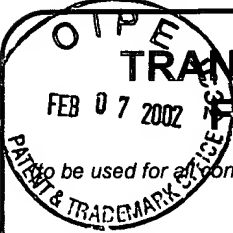
RECEIVED
FEB 15 2002
TC 1700

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

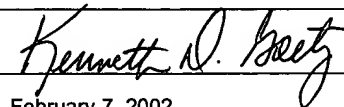
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

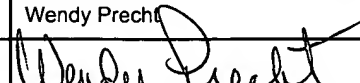
	Application Number	09/885,198	
	Filing Date	June 20, 2001	
	First Named Inventor	Augustin T. Chen	
	Group Art Unit	1713	
	Examiner Name	Not Assigned	
Total Number of Pages in This Submission		Attorney Docket Number	393325

ENCLOSURES (check all that apply)				
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PCT International Search Report, Form 1449, Copies of 2 References, Certificate of Mailing and Return Post Card		
<table border="1"> <tr> <td>Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kenneth D. Goetz LATHROP & GAGE LC
Signature	
Date	February 7, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 7, 2002			
Typed or printed name	Wendy Precht		
Signature		Date	February 7, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.